

Attorney Docket 66,291-157 (ABB Ref. 8241)  
Serial No. 08/952,996

SEP 23 2002

THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re: Leijon et al.

Serial No.: 08/952,996

Art Unit: 2834

Filed: 04/10/1998

Examiner: B. Mullins

For: TURBO GENERATED PLANT

Docket No.: 66,291-157

ABB Ref: 8241

Assistant Commissioner for Patents  
United States Patent and Trademark Office  
Washington, D.C. 20231

**SECOND SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT  
SUBMITTED WITHOUT COPIES OF INFORMATION  
DISCLOSURE STATEMENT CITATIONS PURSUANT TO  
DECISION ON PETITION UNDER 37 C.F.R. 1.183  
SEEKING WAIVER OF REQUIREMENTS UNDER 37 C.F.R. 1.98**

Dear Sir:

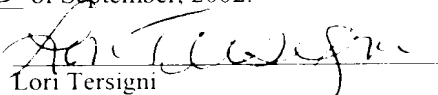
Pursuant to 37 C.F.R. § 1.56, the attention of the Patent and Trademark Office is hereby directed to the reference listed on the attached PTO Form-1449, a second addendum to the previous PTO Forms-1449 filed in this application. A copy of the reference set forth on the attached addendum PTO Form-1449 has been filed with the Office on May 9, 2002 in accord with the terms of the Office's Decision on Petition (copy attached).

The above information is presented so that the Patent and Trademark Office may, in the first instance, determine any materiality thereof to the claimed invention. See 37 C.F.R. §§ 1.104(a) and 1.106(b) concerning the PTO duty to consider and use any such information. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

**CERTIFICATE OF MAILING**

I hereby certify that this Second Supplemental Information Disclosure Statement is being deposited with the United States Postal Service as Express Mail Post Office to Addressee postage prepaid, addressed to Assistant Commissioner for Patents, Washington D.C. 20231 on this 23 of September, 2002.

EXPRESS MAIL NUMBER: ET931275605US

  
Lori Tersigni

Pursuant to the Decision on Petition dated December 1, 1999, which was filed in U.S. Patent Application No. 09/147,325 (the holding application), the requirement for the submission of a copy of each Information Disclosure Statement citation is waived provided that the conditions set forth in paragraphs 1-8 (pages 8-10) of the Decision on Petition are met.

The following conditions set forth in the Decision on Petition are believed to have been met:

1. Three paper copies of each Information Disclosure Statement citation on the attached addendum PTO Form-1440 has been supplied to the U.S. Patent and Trademark Office (on May 9, 2002).
2. This application (the bulk filing application) for which the waiver is desired is related to the above-identified holding application, U.S. Patent Application No. 09/147,325.
3. The information herein has been cited in the above holding application.
4. A copy of the Decision on Petition granting the waiver is attached hereto.
5. At present, no explanatory information related to any particular citation has been submitted in the holding application except for transactions of foreign language references, if applicable.
6. As of the time of this filing, the Office has not terminated the waiver grant, nor has the Applicant terminated or withdrawn its assent to the waiver.
7. The holding application is co-pending herewith.
8. The paper copies of the references cited herein are believed to be contained (or will be contained) in a series of official digests established by the Office which is noted in the Decision on Petition.

Please consider and enter into the record the citations on the attached Form PTO-1449. Please charge any fees to Deposit Account No. 04-2223.

Respectfully submitted,

Date: September 23, 2002

By: 

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**Complete if Known**

<b>Application Number</b>	08/952,996
<b>Filing Date</b>	04/10/98
<b>First Named Inventor</b>	LEJON, ET AL.
Art Unit	2834
Examiner Name	B. MULLINS
Attorney Docket Number	66,291-157

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Sheet	1	of	1
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1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. Applicant is to place a check mark here if English language Translation is attached.

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# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

<b>Application Number</b>	08/952,996
<b>Filing Date</b>	04/10/98
<b>First Named Inventor</b>	LEIJON, ET AL
Group Art Unit	2834
Examiner Name	B. MULLINS
Attorney Docket Number	66,291-157

Sheet

of

1

Attorney Docket Number

66.291-157

**OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS**

Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.

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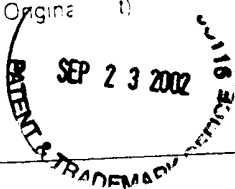
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<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if applicable.

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U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	1	US1304451	5/20/19	L. H. Burnham			
	2	US1418856	6/2/22	Robert B. Williamson			
	3	US1461585	11/22/24	James Robert Beard			
	4	US1728915	9/24/29	E. P. Blankenship et al			
	5	US1742985	11/7/30	L. H. Burnham			
	6	US1747507	2/18/30	Robert B. George			
	7	US1756672	4/29/30	John M. Barr			
	8	US1762775	6/10/30	Albert G. Ganz			
	9	US1781308	11/11/30	Mauntz Vos			
	10	US1661182	5/31/32	F. Hendey et al			
	11	US1974406	9/25/34	Vincent G. Apple et al			
	12	US2005170	6/25/35	Gustof A. Juhlin			
	13	US2206856	7/2/40	W. E. Shearer			
	14	US2217430	10/8/40	R. A. Baudry			
	15	US2241832	5/13/41	H.W. Wahlquist			
	16	US2251291	8/5/41	L. O. Reichelt			
	17	US2256897	9/23/41	W. F. Davidson et al			
	18	US2295415	9/8/42	G.R. Monroe			
	19	US2415652	2/11/47	R. B. Norton			
	20	US2424443	7/22/47	B. C. Evans			
	21	US2436306	2/17/48	J. S. Johnson			
	22	US2446999	8/17/48	G. Camilli			
	23	US2459322	11/18/49	G. T. Johnston			
	24	US2462651	2/22/49	H. W. Lord			
	25	US2498233	2/21/50	L. J. Berberich et al			
	26	US2721905	10/25/55	D. J. Monroe			
	27	US2780771	2/5/57	B. Lee			
	28	US2846599	8/5/58	H. H. McAdam			
	29	US2885581	5/5/59	P. T. Pileggi			
	30	US2943242	6/28/60	E. Schaschl et al			
	31	US2947957	8/2/60	J. C. Spindler			
	32	US2959699	11/8/60	J. W. Smith et al			
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	35	US3098893	7/23/63	R. A. Pringle et al			
	36	US3130335	4/21/64	L. J. Rejda			
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	38	US3157805	11/17/64	E. Wiedemann			
	39	US3158770	11/24/64	A. D. Coggeshall et al			
	40	US3268766	8/23/66	S. E. Amos			
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	42	US3354331	11/21/67	H. L. Broeker et al			
	43	US3355657	11/23/68	James Webb			
	44	US3372283	5/5/68	A. A. Jaecklin			

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(Corrected Listing of Original List)

45	US3428530	11/24/68	W. H. Cheever
46	US3435280	3/25/69	R. B. Bennett et al
47	US3437858	4/8/69	R. B. White
48	US3444407	5/13/69	E. S. Yates
49	US3447002	5/27/69	C. Ronnevig
50	US3484690	12/16/69	H. Wald
51	US3560777	2/2/71	W. Moeller
52	US3593123	7/13/71	A. C. Williamson
53	US3631519	12/28/71	H. Salahshourian
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55	US3651402	3/21/72	P. H. Leffmann
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57	US3675056	7/4/72	H. G. Lenz
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66	US3781739	12/25/73	L. Meyer
67	US3792399	2/17/74	W. McLyman
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92	US4088953	5/9/78	S. Sarian
93	US4091138	5/23/78	Takagi et al
94	US4091139	5/23/78	J. Quirk
95	US4099227	7/4/78	J. Liptak

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138	US4347449	8/31/82	J. F. Beau
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140	US4363612	10/12/82	R. Meyers
141	US4357542	11/2/82	H. Kirschbaum
142	US4360748	11/23/82	H-G Raschbichler et al
143	US4367425	1/4/83	M. Mendelsohn et al
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145	US4369389	1/18/83	D. Lambrecht
146	US4371745	2/1/83	M. Sakasita

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(Corrected Listing of Original List)

147	US4387316	6/7/83	J. Katsekas
148	US4403163	9/6/83	Rarmerding et al
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174	US4590416	5/20/86	M. Porche et al
175	US4594630	6/10/86	M. Rabinowitz et al
176	US4607183	8/19/86	J. Rieber et al
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180	US4633109	12/30/86	J. Feigel
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183	US4677328	6/30/87	K. Kumakura
184	US4687882	8/18/87	G. Stone et al
185	US4692731	9/8/87	H. Osinga
186	US4723104	2/22/88	F. Rohatyn
187	US4737704	4/12/88	S. Kalinnikov et al
188	US4745314	5/17/88	J. Nakano
189	US4766365	8/23/88	L. Bolduc et al
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191	US4795933	1/3/89	K. Sakai
192	US4827172	5/2/89	K. Kobayashi
193	US4845306	7/4/89	E. Womack, Jr. et al
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196	US4859810	8/22/89	R. Cloetens et al
197	US4860430	8/29/89	H. Raschbichler et al

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198	US4884266	9/5/89	L. Feather et al
199	US4883280	11/28/89	L. Lindstrom
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202	US4918347	4/17/90	Y. Takaba
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204	US4924342	5/8/90	R. Lee
205	US4926079	5/15/90	P. Niemela et al
206	US4942326	7/17/90	J. Butler, III et al
207	US4949001	8/14/90	S. Campbell
208	US4994952	2/19/91	D. Silva et al
209	US4997995	3/5/91	M. Simmons et al
210	US5012125	4/30/91	D. Conway
211	US5036165	7/30/91	R. Elton et al
212	US5036238	7/30/91	M. Tajima
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215	US5083360	1/28/92	M. Valencic et al
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217	US5094703	3/10/92	M. Takaoka et al
218	US5097241	3/17/92	E. Smith et al
219	US5097591	3/24/92	M. Wcislo et al
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221	US5124607	6/23/92	J. Rieber et al
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225	US5168662	12/8/92	K. Nakamura et al
226	US5187428	2/16/93	R. Hutchison et al
227	US5235488	8/10/93	S. Koch
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230	US5304883	4/19/93	J. Denk
231	US5305961	4/26/93	A. Errard et al
232	US5321308	6/14/93	A. Johncock
233	US5323330	6/21/93	G. Asplund et al
234	US5325003	6/28/94	J. Grant
235	US5327637	7/12/94	O. Britenbach et al
236	US5341281	8/23/94	G. Skibinski
237	US5343139	8/30/94	L. Gyugyi et al
238	US5355046	10/11/94	K. Weigelt
239	US5365132	11/15/94	J. Hann et al
240	US5387890	2/7/95	P. Estop et al
241	US5397513	3/14/95	C. Staketee, Jr.
242	US5400005	3/21/95	H. Bobry
243	US5452170	9/19/95	S. Ohde et al
244	US5468916	11/21/95	M. Litenas et al
245	US5500632	3/19/96	J. Halser, III
246	US5510942	4/23/96	L. Bock et al
247	US5530307	6/25/96	G. Horst
248	US5545853	8/13/96	N. Hildreth

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ALTERNATE FORM PTO-1449  
(Corrected Listing of Original List)

249	US5550410	8/27/96	C. Titus			
250	US5583387	12/10/96	M. Takeuchi et al			
251	US5587126	12/24/96	C. Steketee, Jr.			
252	US5598137	1/28/97	F. Alber et al			
253	US5607320	3/4/97	J. Wright			
254	US5612510	3/18/97	N. Hildreth			
255	US5663605	9/2/97	P. Evans et al			
256	US5672926	9/30/97	J. Brandes et al			
257	US5689223	11/18/97	A. Demarmels et al			
258	US5807447	9/15/98	I. Forrest			
259	US681800	9/3/01	O. Lasche			
Subtotal	259					

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION	
				YES	NO
1	AT399790	7/25/95	Austria		
2	BE565063	2/23/57	Belgium		
3	CH391071	4/30/65	Switzerland		
4	CH534448	2/28/73	Switzerland		
5	CH539328	7/4/73	Switzerland		
6	CH657482	8/29/86	Switzerland		
7	DD137164	8/15/79	Germany DDR		
8	DD138840	11/21/79	Germany DDR		
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10	DE1807391	5/27/70	Germany		
11	DE2050674	5/19/71	Germany		
12	DE2155371	5/17/73	Germany		
13	DE2400698	7/10/75	Germany		
14	DE2520511	11/18/76	Germany		
15	DE2656389	6/15/78	Germany		
16	DE2721905	11/23/78	Germany		
17	DE277012	7/25/14	Germany		
18	DE19547229	6/19/97	Germany		
19	DE2324951	12/20/79	Germany		
20	DE2835386	2/21/80	Germany		
21	DE2839517	3/27/80	Germany		
22	DE2854520	6/26/80	Germany		
23	DE2913697	10/16/80	Germany		
24	DE2917717	8/20/87	Germany		
25	DE2920478	12/4/80	Germany		
26	DE2939004	4/9/81	Germany		
27	DE3006382	8/27/81	Germany		
28	DE3008818	9/10/81	Germany		
29	DE3009102	9/25/80	Germany		
30	DE3028777	3/26/81	Germany		
31	DE3305225	8/16/84	Germany		
32	DE3309051	9/20/84	Germany		
33	DE336418	6/23/20	Germany		
34	DE3441311	5/15/86	Germany		

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66	DE719009	3/26/42	Germany
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68	DE875227	4/30/53	Germany
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70	EP0130124	1/2/85	European
71	EP0142813	5/29/85	European
72	EP0155405	9/25/85	European
73	EP0174783	3/19/86	European
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209	LU67199	3/14/72	Luxembourg
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237	WO9522153	8/17/95	PCT
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ALTERNATE FORM PTO-1449  
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289	WO9829931	7/9/98	PCT

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ALTERNATE FORM PTO-1449  
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SEP 23 2002

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33	OD034	Advanced Turbine-generators- an assessment; A. Appleton, et al; International Conf. Proceedings, Lg HV Elec. Sys. Paris, FR, Aug-Sep/1976 Vol I, Section 11-02, pg1-9
34	OD035	Fully slotless turbogenerators; E. Spooner; Proc. IEEE Vol 120 #12, 12/1973
35	OD036	Toroidal winding geometry for high voltage superconducting alternators; J. Kirtley et al; MIT - Elec. Power Sys. Engrg. Lab for IEEE PES, 2/1974
36	OD037	High-Voltage Stator Winding Development; D. Albright et al; Proj. Report EL339, Project 1716, April 1984
37	OD038	POWERFORMER™: A giant step in power plant engineering; Owman et al; CIGRE 1993, Paper 11-1.1
38	OD039	Thin Type DC/DC Converter using a coreless wire transformer; K. Onda et al; Proc. IEEE Power Electronics Spec. Conf.; 6/1994, pp330-334
39	OD040	Development of extruded polymer insulated superconducting cable; 1/1992
40	OD041	Transformer core losses; B. Richardson; Proc. IEEE 5/1993, pp365-368

Examiner

Date

Considered

\*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

SEP 23 2002

	41	OD042	Cloth-transformer with divided windings and tension annealed amorphous wire; T. Yamamoto et al; IEEE Translation Journal on Magnetics in Japan Vol 4, No. 9 Sept. 1989
	42	OD043	A study of equipment sizes and constraints for a unified power flow controller; J Bian et al; IEEE 1996
Subtotal	43		
GRAND TOTAL	671		

Examiner

Date  
Considered

\*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP0 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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INFORMATION DISCLOSURE CITATION I  
ALTERNATE FORM PTO-1449

Issue 2: dated 02/21/00

PATENT & TRADEMARK OFFICE  
SEP 23 2002

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION	
					YES	NO
	1	GB 1,319,257	6/6/1973	Anders R. Andersson et al		
	2	GB 1,322,433	7/4/1973	Siemens Aktiengesellschaft		
	3	GB 2,070,341	9/3/1981	Hans-Georg Raschbichler et al		
	4	WO 98/20598	5/14/1998	Jan-Anders Karlfeldtsgatan et al		
	5	WO 98/20602	5/14/1998	Soren Berggren		
	6	WO 98/34239	8/6/1998	Gunnar Steneorpsatan et al		
	7	WO 99/28922	6/10/1999	Thorsten Schutte et al		
	8	WO 99/29005	6/10/1999	Mats Leijon et al		
	9	WO 99/29023	6/10/1999	Peter Carstensen et al		
	10	WO 99/29025	6/10/1999	Mats Leijon et al		
	11	EP 0056580 A1	7/28/1982	Jacobus F.H. Van der Vegt		
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INFORMATION DISCLOSURE CITATION LIST  
ALTERNATE FORM PT. 49

Issue 2, dated 02/21/00

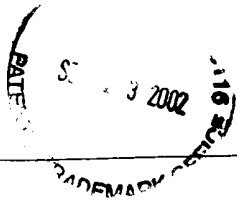
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Application Number:

Applicant(s):

Filing Date:

Group Art Unit:



U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	1	US 4,292,558	9/29/1981	Carl Flick et al			
	2	US 4,656,316	4/7/1987	Hans-Juergen Meitsch			
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Examiner:

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